D Brichioshogi	371	INTERNATIONAL PCT/EPOO	APPLICATION NO.			ATTORNEYS DO	
21. The following fees are submitted:						CULATIONS	PTO USE ONLY
BASIC NATIONAL Neither internation			CFR 1 482)				
nor international se and International S	arch fee (37 CFR	1.445(a)(2)) paid	to USPTO	\$1000.00			
International prelin USPTO but Interna	ninary examination ational Search Rep	n fee (37 CFR 1.4 ont prepared by the	182) not paid to he EPO or JPC)\$860. 00			
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International prelin but all claims did n	ot satisfy provisio	ns of PCT Article	33(1)-(4)	\$690.00			
International prelin	ninary examination	fee (37 CFR 1.4	82) paid to US	SPTO \$100.00			
ENTE	R APPROPRI	ATE BASIC	FEE AMO	UNT =	\$ 8	360.00	
Surcharge of \$130.00 months from the earl	o for furnishing the iest claimed prior	e oath or declarat ty date (37 CFR	ion later than 1.492(e)).	20 🔀 30	\$:	130.00	-
CLAIMS	NUMBER FILE	D NUMBI	ER EXTRA	RATE	\$		
Total claims	- 20			x \$18.00	\$		
Independent claims	- 3			x \$80.00	\$		
MULTIPLE DEPEN			ZE CALCII	+ \$270.00 LATIONS =		990.00	
Applicant claim	s small entity statu				s	990.00	-
are reduced by 1	1/2.			+.			
				JBTOTAL =	\$!	990.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$		
TOTAL-NATIONAL-FEE =					\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
		TOTA	AL FEES E	NCLOSED =	\$		_
						unt to be refunded:	\$
						charged:	\$
a. A check in t	he amount of \$		to cover th	e above fees is enclo	sed.		
b. X Please charg	ge my Deposit Acc copy of this sheet	ount No. <u>12</u> -is enclosed.	2475 in	the amount of \$ 99	0.0	O_ to cover the	ne above fees.
c. X The Commi	ssioner is hereby a t to Deposit Acco	uthorized to char	ge any additio	nal fees which may bate copy of this sheet	oe requ is enc	ired, or credit losed.	any
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where and 1.137 (a) or (b)) mu	appropriate time st be filed and gr	limit under 37 C anted to restore	CFR 1.494 or 1 the application	.495 has not been non to pending status.	net, a j	petition to rev	ive (37 CFR
SEND ALL CORRESPO	NDENCE TO:					1	
Suzanne L.				SIGNATU	JRE		
Lyon & Lyo	on '		4500	Suza	nne	L. Big	gs
633 West H			4700	NAME			
Los Angele	s, CA 90	071		.30.,			·
				REGISTR	ATION	NUMBER	



Certificate of Mailing I hereby certifiy that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on March 29, 2002. ÚCO2 Rec'd PCT/PTO O 8 APR 2002 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING COMPLETION UNDER RULE 53(f) (NOT PCT Applications) For Design, Provisional, or Utility Applications **COMPLETION Under** Rule 53(f) in re PATENT APPLICATION of Attn: Application Division Inventor(s): GREGOR CEVC et al Appin. No.: 09 890,371 Atty.Dkt. 0272496 009848 Series Code 1 **Client Ref** Filed: **JULY 26, 2001** Title: TRANSNASAL TRANSPORT/IMMUNISATION WITH HIGHLY ADAPTABLE CARRIERS Hrn. Commisioner of Patents Date: March 28, 2002 Washington, DC 20231 Sir: The following completes the filing under Rule 53(f) of the above-identified patent application: 1. **Notice to File Missing Parts** □ copy attached not vet received 2. Signed Declaration attached. □ Original ☐ Facsimile/Copy (Always "X" box 2 if filling signed Declaration and "X" box 2A only if top box of the Declaration is X'd and file application copy, or "X" box 2B only if none of the top three boxes of the Declaration is X'd.) Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of 2A. specification and claim(s) originally filed to secure the above filing date. ☐ The original application as filed in the PTO on the above filing date is the application which each 2B. inventor executed by signing the attached Rule 63 Declaration. 3. ☐ Specification originally filed in non-English language; hence verified translation attached of: a. ☐ Abstract

4. Letter filing formal drawing attached.

b.

C.

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Drawing(s)

Fig(s).

5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

No of Sheets

pages of Specification(only spec. & claims)

DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following 6. provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/EP00/00598	01/26/2000	(2)	
(3)		(4)	
(5)		(6)	

7.	FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in	
Ω	(,, , , , , , , , , , , , , , , , , , ,	

<u></u>	Application No.	Filing Date	Application No.	Filing Date
(1)	99101480.4	01/27/1999	(2)	
(3)			(4)	
(5)			(6)	

9.	(No.) Certified copy (cop in U.S. Application No.	ies): attached;	previously filed (date)
10.	Small Entity Status Ø	⊠ is <u>Not</u> claimed	☐ <u>is</u> claimed (file PAT-256 if this is the first claim of
11.		amount of \$1,380.00 ; and	Small Entity Status) I return postcard.
12.	☐ Preliminary Amendment:		

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity]	Fee Code
13. Basic Filing Fee			ign Application ign Application	\$330/\$165 \$740/\$370	+PAID	106/26 101/201
14. Total Effective Claims	53√	minus 20 =	32	x \$18/\$9	+576	103/203
15. Independent Claims	4 1	minus 3 =	1	x \$84/\$42	+84	102/202
 If <u>any proper</u> multiple deperture this line <u>blank</u> if this is 	a <u>reissue</u> a _l	oplication)	is present,	\$280/\$140	+280	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+PAID	105/205	
18. FILING FEE				E ENCLOSED =	\$940.00	
	January 6,					
Petition is hereby made to cover the date this response is	o extend the	e <u>original</u> due date	to (1 mo) e (2mos)	\$110/\$55 = \$400/\$200 =	+0 400.00	115/215 116/216
is attached		and requience to	(3mos) (4mos)	\$920/\$460 = \$1,440/\$720 =	400.00	117/217 118/218
21. If "non-English" box 3 is X'o	d, add Rule	17(k) processing fe	ee	\$130	+0	139
22. If "assignment" box 5 is X'o	, add recor	ding fee		\$40	+40.00	581
23. Petition Fee for				\$130	+0	

04/12/2002 LLANDGRA 00000024 09890371

TOTAL FEE ENCLOSED = 1,380.00

01 FC:966 02 FC: 984 03 FC: 968 04 FC: 116

576.00 OP 84.00 OP 280.00 OP 400.00 OP

Aljustent date: 07/05/2002 | MKAYPAGH 04/22/2002 | LANDGRA 00000024 09890371 02 FE:964 | -A4. -84.00 OP Our Deposit Account No. 03-3975
Our Order No. 009848 027 2496

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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP Intellectual Property Group

11682 El Camino Real Suite 200San Diego, CA 92130 Tel: (619) 234-5000

By Atty: Suzanne L. Biggs

Reg. No. 30,158

Sig:

Fax: (858) 509-4010 Tel: (858) 509-4095

Atty/Sec: SLB/sls

NOTE: File in <u>duplicate</u> with PTO receipt (PAT-103A) and attachments